

*08-20-01*  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

*08/17/01*



Patent No. A-68982-1/MAK

Proposed Classification of this Application:

Class: Not Yet Known  
Subclass: Not Yet Known

Priority Application

Examiner: Not Yet Known

Art Unit: 2131

**Box PATENT APPLICATION**

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

This is a request for filing an

- ☐ Original
- ☐ Continuation
- ☐ Divisional
- ☒ Continuation-in-part

application under 37 C.F.R. 1.53(b), in the name of

Jonathan C. KAPLAN  
(Names of ALL Applicants)

for: DISTRIBUTED INFORMATION SYSTEM AND PROTOCOL FOR AFFIXING ELECTRONIC SIGNATURES AND AUTHENTICATING DOCUMENTS

(Title of Invention)

This ☐ continuation ☐ divisional ☒ continuation-in-part

claims priority to pending application Serial No. 09/642,072, filed on 18 August 2000.

1. ☐ Enclosed is a new application.  
☒ Enclosed is a continuation-in-part application.  
☐ Enclosed is a copy of the prior application.
2. ☒ Enclosed is a new Declaration. (combined Declaration and Power of Attorney)  
☐ Enclosed is a copy of the prior Declaration as originally filed.
3. ☒ Applicant claims small entity status (see 37 CFR 1.27)
4. ☐ Request and Certification under 35 U.S.C. 122(b)(2)(i) (Form PTO/SB/35)
5. ☐ Genetic Sequence Submission: Paper copy, Computer Readable Copy; Statement Verifying Identical Paper and Computer Readable Copy
6. ☒ The filing fee is calculated below:  
☐ Claims as filed in the prior application, less any claims canceled by amendment below:

	(Col. 1)	(Col. 2)	SMALL ENTITY		OTHER THAN SMALL ENTITY	
	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$355		\$710
TOTAL CLAIMS	<u>36</u> - 20 =	16	× 9 =	\$144	× 18 =	\$
INDEP CLAIMS	<u>8</u> - 3 =	5	× 40 =	\$200	× 80 =	\$
MULTIPLE DEPENDENT CLAIM PRESENTED <input type="checkbox"/> yes <input checked="" type="checkbox"/> no			+135 =	\$-0-	+270 =	\$
If the difference in Col 1 is less than zero, enter "0" in Col. 2			TOTAL	\$699	TOTAL	\$

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DATE OF DEPOSIT 17 August 2001

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO: ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231.

TYPED NAME Darryl KRINER

SIGNED *[Signature]*



- 10/17/00 4:55:00 PM
7. ☒ Our check in the amount of \$ 699.00 is enclosed in payment of the above-calculated filing fee.  
☐ The Commissioner is hereby authorized to charge the above-calculated filing fee to Deposit Account No. 06-1300 (Order No. \_\_\_\_\_).  
☐ The filing fee is NOT being submitted with this transmittal letter.
8. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, including extension fees, or credit any overpayment to Deposit Account No. 06-1300 (Order No. A-68982-1/MAK).
9. ☐ Cancel in this application original claims \_\_\_\_\_ of the prior application before calculating the filing fee. (At least one independent claim must be retained for filing purposes.)
10. ☐ Amend the specification by inserting before the first line the sentence:  
--This is a ☐ continuation ☐ divisional ☐ continuation-in-part  
of application Serial No. \_\_\_\_\_ filed \_\_\_\_\_.
11. ☐ Informal drawings are enclosed.  
☒ Formal drawings are enclosed.
12. ☐ Priority of application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 U.S.C. 119.  
☐ The certified copy has been filed in prior application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_.
13. ☒ The prior application is assigned of record to Distributed Trust Management Inc.
14. ☐ The power of attorney in the prior application is to:  
Name: \_\_\_\_\_  
Address: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_
- ☐ The power appears in the original papers in the prior application.  
☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.  
☒ A new power has been executed and is enclosed. (combined Declaration and Power of Attorney)  
☐ Address all future communications to:
- FLEHR HOHBACH TEST ALBRITTON & HERBERT LLP  
Four Embarcadero Center - Suite 3400  
San Francisco, California 94111-4187  
Tel.: (415) 781-1989  
Fax: (415) 398-3249
13. ☐ A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)
14. ☐ I hereby verify that the attached papers are a true duplicate of prior application Serial No. \_\_\_\_\_ as originally filed on \_\_\_\_\_.

Date: 17 August 2001

Signature: Michael A. Kaufman

Michael A. KAUFMAN  
Reg. No. 32,998

Address of Signer:

FLEHR HOHBACH TEST  
ALBRITTON & HERBERT LLP  
4 Embarcadero Center - Suite 3400  
San Francisco, California 94111-4187  
Tel.: (415) 781-1989  
Fax: (415) 398-3249

☒ Attorney or agent of record

☐ Filed under Section 1.34(a)